

Request for Personal Service Contractor

United States Agency for International Development Bureau for Democracy, Conflict and Humanitarian Assistance Office of Food for Peace (FFP)

Position Title: Security Specialist (Multiple Positions)

Duty Office: DCHA/FFP

Solicitation Number: SOL-FFP-17-000004

Salary Level: GS-12 (\$79,720 - \$103,639)

GS-13 (\$94,796 - \$123,234)

(includes Washington, D.C. locality pay)

Issuance Date: April 21, 2017 Closing Date: May 12, 2017 Closing Time: 04:00 P.M. EST

Dear Prospective Applicants:

The United States Government (USG), represented by the U.S. Agency for International Development (USAID) Office of Food for Peace (FFP), is seeking applications from qualified U.S. citizens to provide personal services as a Security Specialist under a United States Personal Services Contract (USPSC), as described in the attached solicitation.

Submittals must be in accordance with the attached information at the place and time specified. Applicants interested in applying for this position MUST submit the following materials:

- 1. Complete resume: In order to fully evaluate your application, your resume must include: (see sample resume on www.ffpjobs.com website)
 - (a) Paid and non-paid experience, job title, location(s), dates held (month/year), and hours worked per week for each position. Dates (month/year) and locations for all field experience must also be detailed. Any experience that does not include dates (month/year), locations, and hours per week will not be counted towards meeting the solicitation requirements.
 - (b) Specific duties performed that fully detail the level and complexity of the work.
 - (c) Names and contact information (phone/email) of your current and/or previous supervisor(s). Current and/or previous supervisors may be contacted for a reference.
 - (d) Education and any other qualifications including job-related training courses, job-related skills, or job-related honors, awards or accomplishments.
 - (e) U.S. Citizenship

Your resume should contain sufficient information to make a valid determination that you fully meet the experience requirements as stated in this solicitation. This information should be clearly identified in your resume. Failure to provide information sufficient to determine your qualifications for the position will result in loss of full consideration.

- 2. Supplemental document specifically addressing the Quality Ranking Factors: Each applicant's supplemental document must address the Quality Ranking Factors (QRFs) shown in the solicitation
- **3. USPSC Application form AID 302-3:** Applicants are required to complete sections A through I. This form must be physically signed. **Electronic signatures will not be accepted.**

All USPSCs with a place of performance in the United States are required to have a Data Universal Numbering System (DUNS) number and be registered in the System for Award Management (SAM) database prior to receiving an offer. You will be disqualified if you either fail to comply with this requirement or if your name appears on the excluded parties list. Registration information is available at www.sam.gov and further guidance will be provided to the selectee regarding DUNS and SAM registration.

Additional documents submitted will not be accepted. Incomplete or late applications will not be considered. FFP reserves the right to select additional candidates if vacancies become available during future phases of the selection process.

Applicants can expect to receive a confirmation email when application materials have been received. Applicants should retain for their records copies of all enclosures which accompany their applications. Your complete resume supplemental document addressing the QRFs, and AID 302-3 form must be emailed to:

FFP PSC Recruitment Team

E-Mail Address: ffprecruitmentteam@usaid.gov

Any questions on this solicitation may be directed to FFP PSC Recruitment Team via the information provided above. Further guidance on applying, including a sample resume, can be found at www.ffpjobs.com.

Sincerely,

Renee Newton Contracting Officer

1. SOLICITATION NO.: SOL-FFP-17-000004

2. ISSUANCE DATE: April 21, 2017

3. CLOSING DATE/TIME FOR

RECEIPT OF APPLICATIONS: May 12, 2017, 04:00 P.M. EST

4. POSITION TITLE: Security Specialist

5. MARKET VALUE:

This position has been designated as a "tandem" position that, depending on the qualifications of the candidate, can be filled at either the GS-12 (\$79,720 - \$103,639) or GS-13 (\$94,796 – \$123,234) equivalent level, including Washington, D.C. locality pay. Final compensation will be negotiated within the listed market value of the GS-12 or GS-13 level based upon the candidate's qualifications, previous relevant experience, work history, salary and educational background. Applicants must indicate in their application which level(s) they want to be considered for in their initial base contract. USAID/FFP reserves the right to place the applicant at the Grade level for which he/she is found qualified during the application process.

6. ADVANCEMENT: If the candidate meets the GS-12 qualifications, the initial grade level of this position will be equivalent to a GS-12 with the opportunity for advancement to the GS-13 after at least one (1) year at the GS-12 level and an annual evaluation rating of "commendable" or "outstanding." Advancement to the GS-13 level is not guaranteed. Those selected at the GS-13 level are not subject to the "Tandem Advancement" criteria stated above in the solicitation, but will be eligible for step increases within their grade with at least a "fully successful" rating in their annual performance evaluation. If any condition above is not met, the GS-12 Security Specialist would have to apply for the GS-13 Security Specialist position to be considered, if so inclined.

Salaries over and above the top of the pay range will not be entertained or negotiated. Candidates who live outside the Washington, D.C. area will be considered for employment but no relocation expenses will be reimbursed.

- **7. PERIOD OF PERFORMANCE:** One (1) year, with four (4) option years based on obtaining at least a "fully successful" level of performance in the annual evaluation.
- **8. PLACE OF PERFORMANCE:** Washington, D.C.
- 9. SECURITY LEVEL REQUIRED: Secret level security clearance
- 10. AREAS OF CONSIDERATION: U.S. Citizen
- 11. STATEMENT OF WORK:

BACKGROUND

The Office of Food For Peace (FFP) is the office within the Bureau for Democracy, Conflict, and Humanitarian Assistance (DCHA) of U. S. Agency for International Development (USAID) and

is the largest provider of food assistance in the world. FFP annually provides close to \$2 billion of food assistance, including some 1.5 million tons or more of in-kind Title II food assistance valued at more than \$1.6 billion and reaching 46 million beneficiaries in 48 countries. Since 2010, FFP has complemented its provision of Title II in-kind food assistance with an Emergency Food Security Program (EFSP) utilizing at least \$300 million in International Disaster Assistance (IDA) funds annually, which allows for local and regional purchase of food outside of the United States and closer to the emergency setting, as well as market based approaches such as cash transfer or food voucher programs that facilitate access to food.

In addition to emergency response, FFP provides support for development food assistance programs which aim to address the underlying causes of food security. Taken together, the in kind and cash based programs provide an impressive array of tools to combat hunger and malnutrition overseas. Programming is facilitated by state of the art early warning systems, a changing in kind food assistance basket that includes more nutritious products, and a modern supply chain management system that allows for rapid movement of commodities. Programming approaches are evolving to focus increasingly on building the resilience of chronically vulnerable populations, especially those in arid lands who face increasing cycles of drought, and improving nutrition with a special focus on women and children under two.

Food for Peace works closely with many other parts of USAID, especially the Bureau for Food Security, which plays a key role in implementing the President's Feed the Future initiative, and the Office of U.S. Foreign Disaster Assistance, which leads on disaster response around the world. Food for Peace employs a staff of over 100 in Washington and also has officers posted abroad.

More Information on FFP is available at:

https://www.usaid.gov/who-we-are/organization/bureaus/bureau-democracy-conflict-and-humanitarian-assistance/office-food

INTRODUCTION

The Security Specialist will work with the Adjudications Team, within the Personnel Security Division, Office of Security (SEC). This individual will provide guidance on procedures for USAID regarding the scope and conduct of personnel security investigations. The incumbent provides support and guidance in all areas of personnel security to ensure timely processing of cases, and customer service at an extremely high level. This individual will serve as a liaison between FFP and SEC offices to ensure effective and efficient communication relative to existing and emerging priorities for FFP.

12. CORE FUNCTIONAL AREAS OF RESPONSIBILITY

FFP requires the services of a Security Specialist in order to meet its objectives of providing lifesaving humanitarian assistance by providing the tools and expertise to adequately staff the Office with employees who are able to respond and deploy in the event of a disaster or humanitarian crisis.

At the GS-12 Level:

- Assist the primary liaison between FFP and SEC, maintain regular communication with FFP and serve as a point of contact for security clearances, revalidations and security clearance upgrades.
- Assist with troubleshooting for security related matters with SEC, such as badging, access, clearance transfers and other issues as they arise.
- Ensure the expedient processing of security clearances which will ensure that FFP is fully staffed and able to respond and deploy their employees in the event of a disaster or humanitarian crisis. Pending approval of supervisor, work with FFP to determine areas of priority, and work to ensure security actions get processed efficiently.
- Assist with a wide variety of tasks as assigned. These tasks can include, but are not limited to, the processing of security file requests, investigation reporting, processing FOIA requests, clearance verification, quality assurance and/ or data gathering or entry into multiple electronic databases.
- Review and evaluate reports of investigation and other records to determine whether to grant, deny, revoke, or suspend security clearances consistent with National Security Adjudicative Guidelines. Results of analysis are subject to review by supervisor.
- Plan, organize, and carry out his/her own work with diverse and competing priorities; monitor and report on the status of in-progress assignments, and adhere to supervisory guidance on work priorities. Participate in a recurring meeting with FFP to discuss areas of concentration for SEC, and coordinate with supervisor on all outstanding issues of concern in a timely manner, to the extent possible. Identify trends and brainstorm solutions to resolve processing or communication challenges, and escalate to management as appropriate.
- Clarify FFP action requests for SEC to ensure appropriateness, and manage processing timeliness expectations by facilitating in-progress investigations.
- The Incumbent will become/ is knowledgeable of the divisions within SEC to provide FFP appropriate points of contact to facilitate their requests.
- The Incumbent will become/ is knowledgeable of SEC's policies and procedures to advise FFP and avoid unnecessary request rejections or processing delays.
- Provide guidance and under supervision, appropriately apply related executive orders, Agency Directives, and other internal procedure, requirements, regulations and policies related to personnel security, and maintain credibility with others on technical issues.
- Specify goals and obstacles to achieving those goals, generate alternatives, and consider risks. Identify and analyze problems; carry out assigned solutions to various problems. Selection of methodology is subject to review by the supervisor. Recognize and use correct grammar, punctuation, and spelling; communicate information in a succinct and organized manner; produce written information, which may include technical material that is appropriate for the intended audience.
- Attend FFP trainings as necessary to better understand staffing needs of the office and how this translates into security requirements; engage in appropriate training either as a participant and/or trainer.
- Conduct or coordinate brown bags and other informational sessions to educate FFP staff on changes in security policy or other relevant subject matter.

- Incumbent is responsible to update the shared FFP spreadsheet tracking the current and expected FFP personnel. The Incumbent provides routine updates on whether cases received by SEC, and the related status.
- As directed, the Incumbent can assist with streamlining the FFP candidate's background investigation by obtaining any additional information on behalf of SEC directly.
- The Incumbent will resend any Interim and Final clearance notifications to AMS and/or selecting officials if not notified.
- The Incumbent notifies the responsible SEC branch for FFP candidates that need or will be in need of a periodic re-investigation.
- Under the direction of the FFP or SEC, incumbent will be required to perform additional tasks or special projects related to this scope of work as necessary.

At the GS-13 Level:

- As a primary liaison between FFP and SEC, maintain regular communication with FFP and serve as the primary point of contact for security clearances, revalidations and security clearance upgrades.
- Serve as FFP's primary contact for troubleshooting security related matters with SEC, such as badging, access, clearance transfers and other issues as they arise.
- Troubleshoot with FFP and SEC, working to ensure the expedient processing of security clearances which will ensure that FFP is fully staffed and able to respond and deploy their employees in the event of a disaster or humanitarian crisis. Exercising independent judgment, work with FFP to determine areas of priority, and work to ensure security actions get processed efficiently.
- Assist with a wide variety of tasks as assigned. These tasks can include, but are not limited
 to, the processing of security file requests, investigation reporting, processing FOIA
 requests, clearance verification, quality assurance and/ or data gathering or entry into
 multiple electronic databases.
- Review and evaluate reports of investigation and other records to determine whether to grant, deny, revoke, or suspend security clearances consistent with National Security Adjudicative Guidelines.
- Identify the need for, and recommend Special Investigations for complex and extremely sensitive and critical national security issues requiring issue resolution to facilitate a clearance determination.
- Compose correspondence and prepare written reports highlighting potential security concerns and the related resolution, if applicable.
- Plan, organize, and carry out his/her own work with diverse and competing priorities; monitor and report on the status of in-progress assignments; ensure all deadlines, and quality standards are met and use initiative and resourcefulness to develop new methods.
- The Incumbent is knowledgeable of the divisions within SEC to provide FFP appropriate points of contact to facilitate their requests.
- The Incumbent is knowledgeable of SEC's policies and procedures to advise FFP and avoid unnecessary request rejections or processing delays.

- Prepare analytical summaries with corresponding recommendations for related course of action; and provide a clear basis for the course of action when potentially disqualifying information is identified and adverse actions are recommended.
- Participate in a recurring meeting with FFP to discuss areas of concentration for SEC, and coordinate all outstanding issues of concern in a timely manner, to the extent possible. Identify trends and propose solutions to resolve processing or communication challenges.
- Clarify FFP action requests for SEC to ensure appropriateness, and manage processing timeliness expectations by facilitating in-progress investigations.
- Provide guidance and appropriately apply related executive orders, Agency Directives, and other internal procedure, requirements, regulations and policies related to personnel security, and maintain credibility with others on technical issues.
- Specify goals and obstacles to achieving those goals, generate alternatives, consider risks, and evaluate and choose the best alternative in order to make a determination, draw conclusions or solve a problem. Identify and analyze problems; use sound reasoning to arrive at conclusions; find alternative solutions to complex problems; distinguish between relevant and irrelevant information to make logical judgments.
- Recognize and use correct grammar, punctuation, and spelling; communicate information in a succinct and organized manner; produce written information, which may include technical material that is appropriate for the intended audience.
- Attend FFP trainings as necessary to better understand staffing needs of the office and how this translates into security requirements; engage in appropriate training either as a participant and/or trainer.
- Conduct brown bags and other informational sessions to educate FFP staff on changes in security policy or other relevant subject matter.
- Incumbent is responsible to update the shared FFP spreadsheet tracking the current and expected FFP personnel. The Incumbent provides routine updates on whether cases received by SEC, and the related status.
- As directed, the Incumbent can assist with streamlining the FFP candidate's background investigation by obtaining any additional information on behalf of SEC directly.
- The Incumbent will resend any Interim and Final clearance notifications to AMS and/or selecting officials if not notified.
- The Incumbent notifies the responsible SEC branch for FFP candidates that need or will be in need of a periodic re-investigation.
- Under the direction of the FFP or SEC, incumbent will be required to perform additional tasks or special projects related to this scope of work as necessary.

Guidelines:

At the <u>GS-12 or GS-13</u> level, pertinent sources of information consist of the FFP authorizing and appropriating laws and legislative initiatives, FFP issued guidelines and information bulletins, and USAID Agency and Bureau rules and regulations that govern the use of the U.S. food assistance programs. The incumbent must exercise considerable judgment in determining the application of current executive orders, Agency Directives, and other internal procedures/ regulations related to personnel security. The incumbent will be responsible for adhering to the National Security Adjudicative Guidelines. In addition, incumbent is expected to be innovative and original in formulating new or improved approaches to problem resolution.

At the <u>GS-12</u> level, the incumbent exercises judgment to determine the application of current legislative provisions and executive policies to assigned responsibilities. The incumbent is expected to identify and recommend solutions to inefficiencies or inconsistencies regarding FFP specific security matters that serve as barriers to the accomplishment of assigned tasks and report them during regular meetings with FFP.

At the <u>GS-13</u> level, the incumbent exercises considerable independent judgment in determining the application of guidelines to assigned responsibilities. The incumbent is expected to identify and recommend solutions to complex problems. In order to create a more efficient security process relating to a range of security actions, the incumbent is expected to conceive and/or propose innovative changes that are consistent with existing legislation, regulations and policies.

Supervisory Controls:

At the <u>GS-12 or GS-13</u> level, the incumbent will take direction from and support the work of the Adjudications Team within the Personnel Security Division, Office of Security (SEC). The Security Specialist will take direction from and report to the Chief of the Adjudications Branch of the Office of Security or her/his designee. The Chief of the Adjudications Branch will set overall objectives and define resources available for the incumbent. The incumbent consults with the supervisor to develop deadlines, projects and work to be done. The USPSC is responsible for planning and carrying out assignments. The incumbent is also responsible for planning her/his approach or methodology to be used in carrying out assignments, which is subject to review by the supervisor. The incumbent will not supervise any FFP personnel.

At the <u>GS-12</u> level, the incumbent works under close supervision of the Chief of the Adjudications Branch. With guidance from the supervisor, the incumbent plans and carries out successive steps and handles problems and deviations in accordance with instructions, policies, previous training or accepted practices. The supervisor will evaluate work for technical soundness, appropriateness and conformity to policy requirements.

At the <u>GS-13</u> level, the incumbent has greater latitude to carry out assignments, while still consulting with the Chief of the Adjudications Branch on policy interpretations. The supervisor sets overall objectives and works with the incumbent to develop deadlines, methodology, and work to be accomplished. The incumbent carries out assignments and consults with the supervisor on policy interpretations. The incumbent keeps his/her supervisor informed of progress in the achievement of objectives and advised of potentially controversial matters. The supervisor will evaluate work for technical soundness and effectiveness in meeting work objectives.

Complexity:

At the GS-12 or GS-13 levels, the incumbent undertakes a wide range of duties that require the exercise of judgment, the interpretation of policies and practices and their relevance to a new problem or situation. The incumbent must work with a range of people from the Office of Security, the Administrative Management System (AMS) Team within the Program, Policy, and Management (PPM) Bureau, the Enrollment Office, and the Office of Food for Peace.

At the GS-12 level, the incumbent employs support services, such as following up on a range of security actions, such as the processing of security file requests, investigation reporting, and clearance verification in order to support the objective of fully staffing FFP. The methodology to be used and the results of analysis are subject to review by the supervisor.

At the GS-13 level, the incumbent applies his/her depth and breadth of knowledge regarding SEC policies and experience to the analysis of FFP pending security actions. The recommended changes will be consistent with existing legislative, regulatory, and policy guidelines but may require the formulation and application of new approaches and methodologies. The incumbent will be responsible for originating new techniques and developing methods to foster a more efficient execution of security actions.

Scope and Effect:

At the GS-12 level, work typically includes reviewing, updating, and flagging any issues within multiple databases containing all pending FFP security actions. The incumbent supports the Adjudications Team and serves as a liaison with FFP to ensure all information that is required to process security actions are submitted in the most efficacious way. In addition, the incumbent is responsible for aiding in the resolution of any issues arising related to FFP security actions. The incumbent establishes and maintains positive relationships with the AMS Team, within SEC, and with FFP, encouraging the sharing of best practices.

At the GS-13 level, the incumbent works with FFP to determine areas of priority and to ensure the efficient processing of security actions. The incumbent highlights potential security concerns and the related resolution in the form of written reports where appropriate. The incumbent will be responsible for identifying recurring issues relating to the processing of security requests and providing recommendations which adhere to existing guidelines and legislation. The incumbent must determine the feasibility of various approaches to enhance existent processes utilized to gather and submit information for security related actions. The incumbent advises FFP on new guidelines, protocols and procedures.

Personal Contacts:

At the <u>GS-12 or GS-13</u> level, contacts may include a variety of FFP staff, the AMS Team, the Enrollment Office, and a variety of other stakeholders within the Agency. The incumbent coordinates closely with FFP/Washington and field staff, the USAID Mission and regional offices, U.S. Embassy, other US agencies as well as NGO representatives. At the GS-13 level, should be able to identify appropriate points of contact for FFP to facilitate their security requests.

Purpose of Contacts:

At the <u>GS-12 and GS-13</u> levels, the incumbent must be able to aid in the facilitation of security requests from their initiation to their completion. Therefore, the incumbent must be able to establish contacts and positive relationships in order to provide guidance for FFP if issues should arise at various points within the security action process. These relationships will prove critical to

the establishment of best practices and efficacious methods for the completion of a range of security actions.

13. WORK ENVIRONMENT AND PHYSICAL DEMANDS:

Work is primarily performed in an office setting. The requirement for field trip assignments to consult with Mission and cooperating sponsor managers of food assistance programs may however expose the incumbent to difficult working surroundings and security risks during the course of travel.

The work is generally sedentary and does not pose undue physical demands. During deployment on DARTs (if required), and during site visits, there may be some additional physical exertion including long periods of standing, walking over rough terrain, or carrying of moderately heavy items (less than 50 pounds).

- **14. START DATE:** Immediately, once necessary clearances are obtained.
- 15. POINT OF CONTACT: See Cover Letter.
- **16. APPLICATION PROCESS:** Applicants must indicate in their application the solicitation number to which they are applying and the salary grade to be considered for in their initial one-year base contract.

MINIMUM REQUIREMENTS FOR THE POSITION

EDUCATION/EXPERIENCE REQUIRED FOR THIS POSITION

A. Minimum Qualifications

At the GS-12 level:

• High School degree with significant work experience in a specialized field (including, but not limited to: international development and humanities, administration, security operations, crisis management, development planning, law enforcement) and a minimum of six (6) years of progressively responsible experience working in personnel security, background investigations, and/or clearance determinations, and analyzing security policies, of which at least two (2) years of experience supporting operations for a large international assistance organization.

OR

Bachelor's degree with significant study in or pertinent to the specialized field (including, but not limited to: international development and humanities, administration, security operations, crisis management, development planning, law enforcement), and four (4) years of progressively responsible experience working in personnel security, background investigations, and/or clearance determinations, and analyzing security policies, of which at least two (2) years of experience supporting operations for a large international assistance organization.

OR

• Master's degree with significant study in or pertinent to the specialized field (including, but not limited to: international development and humanities, administration, security operations, crisis management, development planning, law enforcement), and three (3) years of progressively responsible experience working in personnel security, background investigations, and/or clearance determinations, and analyzing security policies, of which at least two (2) years of experience supporting operations for a large international assistance organization.

At the GS-13 level:

• High School degree with significant work experience in a specialized field (including, but not limited to: international development and humanities, administration, security operations, crisis management, development planning, law enforcement) and a minimum of seven (7) years of progressively responsible experience working in personnel security, background investigations, and/or clearance determinations, and analyzing security policies, of which at least two (2) years of experience supporting operations for a large international assistance organization.

OR

• Bachelor's degree with significant study in or pertinent to the specialized field (including, but not limited to: international development and humanities, administration, security operations, crisis management, development planning, law enforcement), and five (5) years of progressively responsible experience working in personnel security, background investigations, and/or clearance determinations, and analyzing security policies, of which at least two (2) years of experience supporting operations for a large international assistance organization.

OR

• Master's degree with significant study in or pertinent to the specialized field (including, but not limited to: international development and humanities, administration, security operations, crisis management, development planning, law enforcement), and four (4) years of progressively responsible experience working in personnel security, background investigations, and/or clearance determinations, and analyzing security policies, of which at least two (2) years of experience supporting operations for a large international assistance organization.

B. Selection Factors:

Applicants must possess the minimum qualifications for the position. Applicants who do not meet all of the selection factors are considered NOT qualified for the position. Selection factors include:

- Applicant is a U.S. Citizen.
- Submission of a recent and complete resume, with current contact information. See cover page for resume requirements;
- Supplemental document specifically addressing the Quality Ranking Factors (QRFs);
- USPSC Application form AID 302-3. Applicants are required to complete sections A
 through I. This form must be physically signed and scanned. Electronic signatures will
 not be accepted;
- Ability to obtain and maintain a SECRET level security clearance within six-months following job offer acceptance;
- Ability to obtain a U.S. Department of State medical clearance;
- Must not appear as an excluded party in the System for Award Management (SAM.gov).

Applicants not meeting minimum qualifications will not be evaluated further.

QUALITY RANKING FACTORS (QRFs)

Applicants who meet the Education/Experience requirements and Selection Factors will be further evaluated based on the Quality Ranking Factors (QRF) listed below. Applicants are strongly encouraged to address each of the factors on a separate sheet describing specifically and accurately

the experience, training, and/or education they have that is relevant to each factor. Be sure to include your name and the announcement number at the top of each additional page.

- QRF#1 Demonstrated experience working on tasks that require a high degree of attention to detail, with preference given to personnel management or security experience. (20 points)
- QRF#2 Demonstrated experience working within a team as well as providing guidance and/ or support to clients outside of the team. (15 points)
- QRF#3 Ability to exercise independent judgment and discretion when dealing with complex and sensitive information. (15 points)
- QRF#4 Knowledge of the U.S. government and/ or USAID Agency policies, methodologies, regulations, mandates and/ or objectives related to personnel security. (15 points)
- QRF#5 Ability to coordinate and communicate effectively, both orally and in writing, to counterparts on regulations and policy matters. (5 points)

Interview Performance – 30 points

Satisfactory Professional Reference Checks – Pass/Fail

Total Possible Points: 100

BASIS OF RATING: Applicants who meet the Education/Experience requirements and Selection Factors will be further evaluated based on scoring of the Quality Ranking Factor (QRF) response. Those applicants determined to be competitively ranked may also be evaluated on interview performance and satisfactory professional reference checks. Failure to address the selection and/or quality ranking factors may result in your not receiving credit for all of your pertinent experience, education, training, and/or awards.

FFP reserves the right to make additional selections from this solicitation.

The most qualified candidates may be interviewed and required to provide a writing sample. FFP will not pay for any expenses associated with the interviews. Professional references and academic credentials may be evaluated for applicants being considered for selection. FFP may select additional candidates from this solicitation to fill possible future vacant positions.

DOCUMENT SUBMITTALS

Where and How to Apply

Applications must be **received** by the closing date and time at the address specified in the cover letter with solicitation number via e-mail: ffprecruitmentteam@usaid.gov.

Qualified individuals are **required** to submit:

- 1. A complete, current resume. See cover page for resume requirements.
- 2. Supplemental document specifically addressing the QRFs shown in the solicitation.
- 3. USPSC Application form AID 302-3. Applicants are required to complete sections A through I. This form must be physically signed. Electronic signatures will not be accepted.

Additional documents submitted will not be accepted.

By submitting your application materials, you certify that all of the information on and attached to the application is true, correct, complete, and made in good faith. You agree to allow all information on and attached to the application to be investigated. False or fraudulent information on or attached to your application may result in you being eliminated from consideration for this position, or being terminated after award, and may be punishable by fine or imprisonment.

To ensure consideration of applications for the intended position, please reference the solicitation number on your application, and as the subject line in any email.

NOTE: If the full security application package is not submitted within 30 days after the Office of Security determines eligibility, the offer may be rescinded. If a Secret security clearance is not obtained within nine months after offer acceptance, the offer may be rescinded.

NOTE: If the full medical clearance package is not submitted within two months after offer acceptance, the offer may be rescinded. If a Department of State medical clearance is not obtained within six months after offer acceptance, the offer may be rescinded.

NOTE REGARDING GOVERNMENT OBLIGATIONS FOR THIS SOLICITATION

This solicitation in no way obligates USAID to award a PSC contract, nor does it commit USAID to pay any cost incurred in the preparation and submission of the application.

NOTE REGARDING DATA UNIVERSAL NUMBERING SYSTEM (DUNS) NUMBERS AND THE SYSTEM FOR AWARD MANAGEMENT

All USPSCs with a place of performance in the United States are required to have a Data Universal Numbering System (DUNS) number and be registered in the System for Award Management (SAM) database prior to receiving an offer. You will be disqualified if you either fail to comply with this requirement or if your name appears on the excluded parties list. The selectee will be provided with guidance regarding this registration.

For general information about DUNS Numbers, please refer to Federal Acquisition Regulation (FAR) Clause 52.204-6, Data Universal Numbering System Number at https://www.acquisition.gov/far/current/html/52 200 206.html and FAR 52.204-7, System for

Award Management at https://www.acquisition.gov/far/current/html/52_200_206.html or www.sam.gov.

Interested applicants are highly encouraged to initiate the registration process early in the application stage to avoid any delays during contract award.

LIST OF REQUIRED FORMS FOR PSCs

Forms outlined below can be found at:

http://www.usaid.gov/forms/ or at http://www.forms.gov/bgfPortal/main.do

- 1. USPSC Application Form, (AID 302-3)
- 2. Medical History and Examination Form (DS-6561).
- 3. Questionnaire for Sensitive Positions (for National Security) (SF-86), or
- 4. Questionnaire for Non-Sensitive Positions (SF-85).
- 5. Finger Print Card (FD-258).
- **6.** Employment Eligibility Verification (I-9 Form).

These forms shall be completed ONLY upon the advice of the Contracting Officer that an applicant is the successful candidate for the job.

CONTRACT INFORMATION BULLETINS (CIBs) and ACQUISITION & ASSISTANCE POLICY DIRECTIVES (AAPDs) PERTAINING TO PSCs

CIBs and AAPDs contain changes to USAID policy and General Provisions in USAID regulations and contracts. Please refer to

http://transition.usaid.gov/business/business_opportunities/cib/subject.html#psc to determine which CIBs and AAPDs apply to this contract.

AAPD 06-10 - PSC MEDICAL PAYMENT RESPONSIBILITY

AAPD No. 06-10 is hereby incorporated as Attachment 1 to the solicitation.

AAPD 15-02 LEAVE AND HOLIDAYS

AAPD No. 15-02 is hereby incorporated as Attachment 2 to the solicitation.

FAR 52.222-50 – COMBATING TRAFFICKING IN PERSONS

FAR Clause 52.222-50 is hereby incorporated as Attachment 3 to the solicitation.

BENEFITS/ALLOWANCES/TAXES:

As a matter of policy, and as appropriate, a USPSC is normally authorized the following benefits and allowances:

BENEFITS:

- a) Employer's FICA Contribution
- b) Contribution toward Health & Life Insurance
- c) Pay Comparability Adjustment
- d) Annual Increase (pending a satisfactory performance evaluation)
- e) Eligibility for Worker's Compensation
- f) Annual & Sick Leave

ALLOWANCES (if Applicable)*

The following allowances may be provided for PSCs located in foreign countries using rates prescribed under the Department of State Standardized Regulations (Government Civilian Foreign areas) available at https://aoprals.state.gov/:

- a) Temporary Lodging Allowance (Section 120).
- b) Living Quarters Allowance (Section 130).
- c) Post Allowance (Section 220).
- d) Supplemental Post Allowance (Section 230).
- e) Separate Maintenance Allowance (Section 260).
- f) Education Allowance (Section 270).
- g) Education Travel (Section 280).
- h) Post Differential (Chapter 500).
- i) Payments during Evacuation/Authorized Departure (Section 600), and
- j) Danger Pay (Section 650).

FEDERAL TAXES: USPSCs are required to pay Federal Income Taxes, FICA, and Medicare

ALL QUALIFIED APPLICANTS WILL BE CONSIDERED REGARDLESS OF AGE, RACE, COLOR, SEX, CREED, NATIONAL ORIGIN, LAWFUL POLITICAL AFFILIATION, NON-DISQUALIFYING DISABILITY, MARITAL STATUS, SEXUAL ORIENTATION, AFFILIATION WITH AN EMPLOYEE ORGANIZATION, OR OTHER NON-MERIT FACTOR.

^{*} Standardized Regulations (Government Civilians Foreign Areas).

ATTACHMENT 1

MEDICAL EXPENSE PAYMENT RESPONSIBILITY (OCTOBER 2006) (AAPD06-10)

- (a) Definitions. Terms used in this General Provision are defined in 16 FAM 116 (available at http://www.foia.state.gov/REGS/fams.asp?level=2&id=59&fam=0). Note: personal services contractors are not eligible to participate in the Federal Employees Health Programs.
- (b) The regulations in the Foreign Affairs Manual, Volume 16, Chapter 520 (16 FAM 520), Responsibility for Payment of Medical Expenses, apply to this contract, except as stated below. The contractor and each eligible family member are strongly encouraged to obtain health insurance that covers this assignment. Nothing in this provision supersedes or contradicts any other term or provision in this contract that pertains to insurance or medical costs, except that section (e) supplements General Provision 25. "MEDICAL EVACUATION (MEDEVAC) SERVICES."
- (c) When the contractor or eligible family member is covered by health insurance, that insurance is the primary payer for medical services provided to that contractor or eligible family member(s) both in the United States and abroad. The primary insurer's liability is determined by the terms, conditions, limitations, and exclusions of the insurance policy. When the contractor or eligible family member is not covered by health insurance, the contractor is the primary payer for the total amount of medical costs incurred and the U.S. Government has no payment obligation (see paragraph (f) of this provision).
- (d) USAID serves as a secondary payer for medical expenses of the contractor and eligible family members who are covered by health insurance, where the following conditions are met:
 - (1) The illness, injury, or medical condition giving rise to the expense is incurred, caused, or materially aggravated while the eligible individual is stationed or assigned abroad;
 - (2) The illness, injury, or medical condition giving rise to the expense required or requires hospitalization and the expense is directly related to the treatment of such illness, injury, or medical condition, including obstetrical care; and
 - (3) The Office of Medical Services (M/MED) or a Foreign Service medical provider (FSMP) determines that the treatment is appropriate for, and directly related to, the illness, injury, or medical condition.
- (e) The Mission Director may, on the advice of M/MED or an FSMP at post, authorize medical travel for the contractor or an eligible family member in accordance with the General Provision 10, Travel and Transportation AAPD 06-10 PSC Medical Expense Payment Responsibility 6 Expenses (July 1993), section (i) entitled "Emergency and Irregular Travel and Transportation." In the event of a medical emergency, when time does not permit consultation, the Mission Director may issue a Travel Authorization Form or Medical Services Authorization Form DS-3067, provided that the FSMP or Post Medical Advisor (PMA) is notified as soon as possible following such an issuance. The contractor must promptly file a claim with his or her medevac insurance provider and repay to USAID any amount the medevac insurer pays for medical travel, up to the

amount USAID paid under this section. The contractor must repay USAID for medical costs paid by the medevac insurer in accordance with sections (f) and (g) below. In order for medical travel to be an allowable cost under General Provision 10, the contractor must provide USAID written evidence that medevac insurance does not cover these medical travel costs.

(f) If the contractor or eligible family member is not covered by primary health insurance, the contractor is the primary payer for the total amount of medical costs incurred. In the event of a medical emergency, the Medical and Health Program may authorize issuance of Form DS-3067, Authorization for Medical Services for Employees and/or Dependents, to secure admission to a hospital located abroad for the uninsured contractor or eligible family member.

In that case, the contractor will be required to reimburse USAID in full for funds advanced by USAID pursuant to the issuance of the authorization. The contractor may reimburse USAID directly or USAID may offset the cost from the contractor's invoice payments under this contract, any other contract the individual has with the U.S. Government, or through any other available debt collection mechanism.

- (g) When USAID pays medical expenses (e.g., pursuant to Form DS-3067, Authorization for Medical Services for Employees and/or Dependents), repayment must be made to USAID either by insurance payment or directly by the contractor, except for the amount of such expenses USAID is obligated to pay under this provision. The Contracting Officer will determine the repayment amount in accordance with the terms of this provision and the policies and procedures for employees contained in 16 FAM 521. When USAID pays the medical expenses, including medical travel costs (see section (e) above), of an individual (either the contractor or an eligible family member) who is covered by insurance, that individual promptly must claim his or her benefits under any applicable insurance policy or policies. As soon as the individual receives the insurance payment, the contractor must reimburse USAID for the full amount that USAID paid on the individual's behalf or the repayment amount determined by the Contracting Officer in accordance with this paragraph, whichever is less. If an individual is not covered by insurance, the contractor must reimburse USAID for the entire amount of all medical expenses and any travel costs the contractor receives from his/her medevac provider.
- (h) In the event that the contractor or eligible family member fails to recover insurance payments or transfer the amount of such payments to USAID within 90 days, USAID will take appropriate action to collect the payments due, unless such failure is for reasons beyond the control of the USPSC/dependent. (i) Before departing post or terminating the contract, the contractor must settle all medical expense and medical travel costs. If the contractor is insured, he or she must provide proof to the Contracting AAPD 06-10 PSC Medical Expense Payment Responsibility 7 Officer that those insurance claims have been submitted to the insurance carrier(s) and sign a repayment agreement to repay to USAID any amounts paid by the insurance carrier(s).

END OF PROVISION

ATTACHMENT 2

Authorization of Family and Medical Leave for U.S. Personal Services Contracts (USPSCs) (AAPD 15-02)

GP 5. LEAVE AND HOLIDAYS (DEC 2015) (Pursuant to class deviation #M/OAA-DEV-AIDAR-16-1c)

(a) Annual Leave

- (1) The contractor is not entitled to annual leave if the period of performance of this contract is 90 days or less. If the contract period of performance is more than 90 days, the contractor shall earn annual leave as of the start date of the contract period of performance as specified in paragraph (a)(2) below.
- (2) The contractor shall accrue annual leave based on the contractor's time in service according to the following table:

Time in Service	Annual Leave (AL) Accrual Calculation
0 to 3 years	Four hours of leave for each two week period
over 3, and up to 15	Six hours of AL for each two week period (including 10 hours AL for the
years	final pay period of a calendar year)
over 15 years	Eight hours of AL for each two week period

USAID will calculate the contractor's time in service based on all the previous service performed by the contractor as a: 1) USAID PSC (i.e., the contractor has served under any USAID personal services contracts of any duration covered by Sec. 636(a)(3) of the FAA or other statutory provision applicable to USAID); and/or 2) former U.S. Government (USG) direct-hire, under either civilian and/or military service.

- (i) AL is provided under this contract for the purposes of affording necessary rest and recreation during the period of performance. The contractor, in consultation with the USAID Mission or USAID/Washington, as appropriate, shall develop an AL schedule early in the contractor's period of performance, taking into consideration project requirements, employee preference, and other factors. All AL earned by the contractor must be used during the contractor's period of performance. All AL earned by the contractor, but not taken by the end of the contract, will be forfeited. However, to prevent forfeiture of AL, the Contracting Officer may approve the contractor taking AL during the concluding weeks of the contractor's period of performance.
 - (ii) As an exception to 3(i) above, the contractor may receive a lump-sum payment for leave not taken. To approve this exception, the contractor's supervisor must provide the Contracting Officer with a signed, written Determination and Findings (D&F). The D&F must set out the facts and circumstances that prevented the contractor from taking AL, and

- the Contracting Officer must find that these facts and circumstances were not caused by, or were beyond the control of, the contractor. This leave payment must not exceed the number of days which could be earned by the contractor during a twelve-month period.
- (4) With the approval of the Mission Director or cognizant AA, as appropriate, and if the circumstances warrant, a Contracting Officer may grant the contractor advance AL in excess of the amount earned, but in no case may the Contracting Officer grant advance AL in excess of the amount earned in one year or over the life of the contract, whichever is less. The contractor agrees to reimburse USAID for any outstanding balance of advance AL provided during the contractor's assignment under the contract.
- (5) Applicants for PSC positions will provide evidence of their PSC and/or USG direct-hire service civilian and/or military experience, as applicable, on their signed and dated application form required under USAID policy. By signing the appropriate form, the applicant attests to the accuracy of the information provided. Any applicant providing incorrect information is subject to the penalty provisions in the form. If required to satisfy due diligence requirements on behalf of the Contracting Officer, the contractor may be required to furnish evidence that verifies length of service, e.g., SF 50, DD Form 214, and/or signed contracts.
- (b) Sick Leave. The contractor may use sick leave on the same basis and for the same purposes as USAID U.S. direct hire employees. Sick leave is earned at a rate not to exceed four (4) hours every two (2) weeks for a total of 13 work-days per year. Unused sick leave may be carried over under an extension or renewal of this contract with the same individual for the same work. Otherwise, sick leave will not be carried over from one post to another or from one contract to another. The contractor will not be compensated for unused sick leave upon completion of this contract.

(c) Home Leave.

- (1) Home leave is leave earned for service abroad for use only in the U.S., its commonwealths, possessions and territories.
- (2) A USPSC who has served at least two years overseas at the same USAID Mission, under the same contract, as defined in paragraph (c)(4) below, and has not taken more than 30 work days leave (annual, sick or LWOP) in the U.S. may be granted home leave in accordance with the following:
 - (i) if the USPSC returns to the same overseas post upon completion of home leave for an additional two (2) years under the same contract, the USPSC will receive home leave, to be taken at one time, for a period of 30 work days; or
 - if the USPSC returns to the same overseas post upon completion of home leave for such shorter period of not less than one year, as approved in writing by the Mission Director prior to the USPSCs departure on home leave, the USPSC will receive home leave, to be taken at one time, for a period of 30 work days.

- (ii) if the USPSC is returning to a different USAID Mission under a USAID personal services contract immediately following completion of the USPSC's home leave, for an additional two (2) years under contract, or for such shorter period of not less than one (1) year, as approved by the Mission Directors of the "losing" and "gaining" Missions, the contractor will receive home leave, to be taken at one time, for a period of not more than 20 work days. When the PSC is returning to a different USAID Mission, the former Mission will pay for the home leave regardless of what country the PSC will be working in following the home leave;
- (iii) if home leave eligibility is based on (c)(2)(ii) above, the USPSC must submit written verification to the losing Mission at the time home leave is requested that the USPSC has accepted a USAID personal services contract at another USAID Mission following completion of the home leave;
- (iv) travel time by the most direct route is authorized in addition to the number of work days authorized for home leave;
- (v) home leave must be taken in the U.S., its commonwealths, possessions or territories, and any days spent elsewhere will be charged to annual leave (AL.) If the PSC does not have accrued AL, the PSC will be placed on LWOP.
- (vi) if the PSC does not complete the additional service required under (c)(2)(i) or (ii) (that the Contracting Officer finds are other than for reasons beyond the PSC's control), the cost of home leave, travel and transportation and any other related costs must be repaid by the PSC to the Government.
- (3) Notwithstanding the requirement in paragraph (c)(2) above, that the USPSC must have served two (2) years overseas under personal services contract with the same Mission to be eligible for home leave, the USPSC may be granted advance home leave subject to all of the following conditions:
 - (i) Granting of advanced home leave would in each case serve to advance the attainment of the objectives of this contract; and
 - (ii) The USPSC has served a minimum of 18 months in the Cooperating Country under this contract; and
 - (iii) The USPSC agrees to return to the Cooperating Country to serve out the remaining time necessary to meet two (2) years of service overseas, plus an additional two (2) years under the current contract or under a new contract for the same or similar services at the same Mission. If approved in advance by the Mission Director, the USPSC may return to serve out any remainder of the two (2) year requirement for service overseas, plus an additional period of not less than one (1) year under the current contract or under a new contract for the same or similar services at the same Mission.

- (4) The period of service overseas required under paragraph (c)(2), or paragraph (c)(3) above, will include the actual days in orientation in the U.S. (less language training). The actual days overseas begin on the date of arrival in the cooperating country inclusive of authorized delays enroute. Allowable annual and sick leave taken while overseas, but not LWOP, shall be included in the required period of service overseas. An amount equal to the number of days of annual and sick leave taken in the U.S., its commonwealths, possessions or territories will be added to the required period of service overseas.
- (5) Salary during the travel to and from the U.S., for home leave will be limited to the time required for travel by the most expeditious air route. Except for reasons beyond the USPSC's control as determined by the Contracting Officer, the USPSC must return to duty after home leave and complete the additional required service or be responsible for reimbursing USAID for payments made during home leave. Unused home leave is not reimbursable under this contract, nor can it be taken incrementally in separate time periods.
- (6) Home leave must be taken at one time, and to the extent deemed necessary by the Contracting Officer, an USPSC in the U.S., on home leave may be authorized to spend not more than five (5) days in work status for consultation at USAID/Washington before returning to post. Consultation at locations other than USAID/Washington as well as any time in excess of five (5) days spent for consultation must be approved by the Mission Director or the Contracting Officer.
- (d) Home Leave for Qualifying Posts (HLQP). USPSCs who ordinarily qualify for home leave and have completed a 12-month assignment at one of the USAID qualifying posts (currently Iraq, Afghanistan and Pakistan) are entitled to take ten (10) workdays of leave in addition to the home leave a USPSC is normally entitled to under the contract in accordance with sub-paragraphs (c)(1) (6) above. This additional home leave is provided pursuant to an amendment to the Foreign Service Act of 1980 signed by the President on June 15, 2006.

There is no requirement that an eligible USPSC take this additional leave; it is for optional use by the USPSC. If an eligible USPSC elects to take HLQP, the USPSC must take ten (10) workdays of home leave. If the USPSC is returning to the United States and not returning overseas to the same or different USAID Mission, HLQP will not apply.

This new home leave policy is also extended to qualifying **Third-Country National PSCs** (**TCNPSCs**) who have an approved exception under AIDAR Appendix J, sec. 4(c)(2)(ii)(B), to apply specific provisions from AIDAR Appendix D, and whose contract includes this General Provision. However, TCNPSCs will be granted "country leave" vice home leave. The application, requirements, and restrictions will be the same as for USPSCs, but the time taken by a TCNPSC will be taken in the TCNPSC's home country or country of recruitment rather than in the United States, its commonwealths and territories.

(e) Holidays and Excused Absences. The contractor shall be entitled to all holidays and or excused absences granted by the USAID to U.S. direct-hire employees.

- **(f) Military Leave.** Military leave of not more than 15 calendar days in any calendar year may be granted to a contractor who is a reservist of the U.S. Armed Forces. The contractor must provide advance notice of the pending military leave to the Contracting Officer or the Mission Director as soon as known. A copy of any such notice must be part of the contract file.
- (g) Leave Without Pay (LWOP). LWOP may be granted only with the written approval of the Contracting Officer or Mission Director, unless a USPSC is requesting for such leave for family and leave purposes under paragraph (i) below.
- **(h) Compensatory Time.** Compensatory time leave may be granted only with the written approval of the Contracting Officer or Mission Director in rare instances when it has been determined absolutely essential and used under those guidelines which apply to USAID U.S. direct-hire employees.

(i) Family and Medical Leave (FML)

- (1) USAID provides family and medical leave (FML) for eligible USPSCs working within the U.S., or any Territories or possession of the United States, in accordance with Title I of the Family and Medical Leave Act of 1993, as amended, and as administered by the Department of Labor under 29 CFR 825. USAID is also extending FML to eligible USPSCs working outside the U.S., or any Territories or possession of the U.S., in accordance with this paragraph (i) as a matter of policy discretion.
- (2) FML only applies to USPSCs, not any other type of PSC.
- (3) To be eligible for FML, a USPSC must have been employed (i) for at least 12 months by USAID; and (ii) for at least 1,250 hours of service with USAID during the previous 12-month period. The specific eligibility criteria and requirements are provided in USAID policy.
- (4) In accordance with 29 CFR 825.200(a) and USAID policy, an eligible USPSC may take up to 12 workweeks of leave under FMLA, Title I, in any 12-month period for the following reasons:
 - (a) The care of the USPSC's newborn child.
 - (b) The care of the USPSC's newly placed adopted or foster care child.
 - (c) The care of the USPSC's spouse, child or parent with a serious health condition.
 - (d) The USPSC's own serious health condition.
 - (e) A qualifying exigency arising from the USPSC's spouse, child or parent in active duty military status.
 - (f) Other qualifying exigencies as determined by the Department of Labor.
- (5) In accordance with 29 CFR Part 825.207, the USPSC may take LWOP for FML purposes. However, the USPSC may choose to substitute LWOP with accrued paid leave, including accrued annual or sick leave, or compensatory time earned under this contract. If the USPSC does not choose to substitute accrued paid leave, the CO, in consultation with the USPSC's supervisor, may require the USPSC to substitute accrued paid leave for LWOP. The CO must verify the accuracy of the USPSC's accrued paid leave request and obtain the required certifications for approval of FML in accordance with the stated USAID policy.

- (6) FML is not authorized for any period beyond the completion date of this contract.
- (7) When requesting FML, the USPSC must demonstrate eligibility to the USPSC's supervisor by completing USAID's FML request forms, including certifications and other supporting documents required by USAID policy.
- (8) The U.S. Department of Labor's (DOL's) <u>Wage and Hour Division (WHD) Publication</u> 1420 explains the FMLA's provisions and provides information concerning procedures for filing complaints for violations of the Act.
- **(j) Leave Records.** The contractor shall maintain current leave records for himself/herself and make them available, as requested by the Mission Director or the Contracting Officer.

[END OF PROVISION]

ATTACHMENT 3

FAR 52.222-50 COMBATING TRAFFICKING IN PERSONS (MAR 2015)

(a) Definitions. As used in this clause

Agent means any individual, including a director, an officer, an employee, or an independent contractor, authorized to act on behalf of the organization.

Coercion means-

- (1) Threats of serious harm to or physical restraint against any person;
- (2)Any scheme, plan, or pattern intended to cause a person to believe that failure to perform an act would result in serious harm to or physical restraint against any person; or
- (3) The abuse or threatened abuse of the legal process.

Commercial sex act means any sex act on account of which anything of value is given to or received by any person.

Commercially available off-the-shelf (COTS) item means-

- (1) Any item of supply (including construction material) that is-
 - (i) A commercial item (as defined in paragraph (1) of the definition at FAR Solicitation 2.101);
 - (ii) Sold in substantial quantities in the commercial marketplace; and
 - (iii) Offered to the Government, under a contract or subcontract at any tier, without modification, in the same form in which it is sold in the commercial marketplace; and
- (2) Does not include bulk cargo, as defined in 46 U.S.C. 40102(4), such as agricultural products and petroleum products.

Debt bondage means the status or condition of a debtor arising from a pledge by the debtor of his or her personal services or of those of a person under his or her control as a security for debt, if the value of those services as reasonably assessed is not applied toward the liquidation of the debt or the length and nature of those services are not respectively limited and defined.

Employee means an employee of the Contractor directly engaged in the performance of work under the contract who has other than a minimal impact or involvement in contract performance.

Forced Labor means knowingly providing or obtaining the labor or services of a person-

- (1) By threats of serious harm to, or physical restraint against, that person or another person;
- (2)By means of any scheme, plan, or pattern intended to cause the person to believe that, if the person did not perform such labor or services, that person or another person would suffer serious harm or physical restraint; or
- (3) By means of the abuse or threatened abuse of law or the legal process.

Involuntary servitude includes a condition of servitude induced by means of-

- (1)Any scheme, plan, or pattern intended to cause a person to believe that, if the person did not enter into or continue in such conditions, that person or another person would suffer serious harm or physical restraint; or
- (2) The abuse or threatened abuse of the legal process.

Severe forms of trafficking in persons means-

- (1) Sex trafficking in which a commercial sex act is induced by force, fraud, or coercion, or in which the person induced to perform such act has not attained 18 years of age; or
- (2) The recruitment, harboring, transportation, provision, or obtaining of a person for labor or services, through the use of force, fraud, or coercion for the purpose of subjection to involuntary servitude, peonage, debt bondage, or slavery.

Sex trafficking means the recruitment, harboring, transportation, provision, or obtaining of a person for the purpose of a commercial sex act.

Subcontract means any contract entered into by a subcontractor to furnish supplies or services for performance of a prime contract or a subcontract.

Subcontractor means any supplier, distributor, vendor, or firm that furnishes supplies or services to or for a prime contractor or another subcontractor.

United States means the 50 States, the District of Columbia, and outlying areas.

- (b) *Policy*. The United States Government has adopted a policy prohibiting trafficking in persons including the trafficking-related activities of this clause. Contractors, contractor employees, and their agents shall not-
 - (1) Engage in severe forms of trafficking in persons during the period of performance of the contract;
 - (2) Procure commercial sex acts during the period of performance of the contract;

- (3) Use forced labor in the performance of the contract;
- (4) Destroy, conceal, confiscate, or otherwise deny access by an employee to the employee's identity or immigration documents, such as passports or drivers' licenses, regardless of issuing authority;
- (i) Use misleading or fraudulent practices during the recruitment of employees or offering of employment, such as failing to disclose, in a format and language accessible to the worker, basic information or making material misrepresentations during the recruitment of employees regarding the key terms and conditions of employment, including wages and fringe benefits, the location of work, the living conditions, housing and associated costs (if employer or agent provided or arranged), any significant cost to be charged to the employee, and, if applicable, the hazardous nature of the work;
 - (ii) Use recruiters that do not comply with local labor laws of the country in which the recruiting takes place;
- (6) Charge employees recruitment fees;
- (7)
- (i) Fail to provide return transportation or pay for the cost of return transportation upon the end of employment-
 - (A) For an employee who is not a national of the country in which the work is taking place and who was brought into that country for the purpose of working on a U.S. Government contract or subcontract portions of contracts performed outside the United States); or
 - (B)For an employee who is not a United States national and who was brought into the United States for the purpose of working on a U.S. Government contract or subcontract, if the payment of such costs is required under existing temporary worker programs or pursuant to a written agreement with the employee (for portions of contracts performed inside the United States); except that-
- (ii) The requirements of paragraphs (b)(7)(i) of this clause shall not apply to an employee who is-
 - (A) Legally permitted to remain in the country of employment and who chooses to do so; or

- (B) Exempted by an authorized official of the contracting agency from the requirement to provide return transportation or pay for the cost of return transportation;
- (iii) The requirements of paragraph (b)(7)(i) of this clause are modified for a victim of trafficking in persons who is seeking victim services or legal redress in the country of employment, or for a witness in an enforcement action related to trafficking in persons. The contractor shall provide the return transportation or pay the cost of return transportation in a way that does not obstruct the victim services, legal redress, or witness activity. For example, the contractor shall not only offer return transportation to a witness at a time when the witness is still needed to testify. This paragraph does not apply when the exemptions at paragraph (b)(7)(ii) of this clause apply.
- (8) Provide or arrange housing that fails to meet the host country housing and safety standards; or
- (9) If required by law or contract, fail to provide an employment contract, recruitment agreement, or other required work document in writing. Such written work document shall be in a language the employee understands. If the employee must relocate to perform the work, the work document shall be provided to the employee at least five days prior to the employee relocating. The employee's work document shall include, but is not limited to, details about work description, wages, prohibition on charging recruitment fees, work location(s), living accommodations and associated costs, time off, roundtrip transportation arrangements, grievance process, and the content of applicable laws and regulations that prohibit trafficking in persons.
- (c) Contractor requirements. The Contractor shall-
 - (1) Notify its employees and agents of-
 - (i) The United States Government's policy prohibiting trafficking in persons, described in paragraph (b) of this clause; and
 - (ii) The actions that will be taken against employees or agents for violations of this policy. Such actions for employees may include, but are not limited to, removal from the contract, reduction in benefits, or termination of employment; and
 - (2) Take appropriate action, up to and including termination, against employees, agents, or subcontractors that violate the policy in paragraph (b) of this clause.
- (d) Notification.
 - (1) The Contractor shall inform the Contracting Officer and the agency Inspector General immediately of-
 - (i) Any credible information it receives from any source (including host country law enforcement) that alleges a Contractor employee, subcontractor,

subcontractor employee, or their agent has engaged in conduct that violates the policy in paragraph (b) of this clause (see also 18 U.S.C. 1351, Fraud in Foreign Labor Contracting, and 52.203-13(b)(3)(i)(A), if that clause is included in the solicitation or contract, which requires disclosure to the agency Office of the Inspector General when the Contractor has credible evidence of fraud); and

- (ii) Any actions taken against a Contractor employee, subcontractor, subcontractor employee, or their agent pursuant to this clause.
- (2) If the allegation may be associated with more than one contract, the Contractor shall inform the contracting officer for the contract with the highest dollar value.
- (e) *Remedies*. In addition to other remedies available to the Government, the Contractor's failure to comply with the requirements of paragraphs (c), (d), (g), (h), or (i) of this clause may result in-
 - (1) Requiring the Contractor to remove a Contractor employee or employees from the performance of the contract;
 - (2) Requiring the Contractor to terminate a subcontract;
 - (3) Suspension of contract payments until the Contractor has taken appropriate remedial action:
 - (4) Loss of award fee, consistent with the award fee plan, for the performance period in which the Government determined Contractor non-compliance;
 - (5) Declining to exercise available options under the contract;
 - (6) Termination of the contract for default or cause, in accordance with the termination clause of this contract; or
 - (7) Suspension or debarment.
- (f) *Mitigating and aggravating factors*. When determining remedies, the Contracting Officer may consider the following:
 - (1)Mitigating factors. The Contractor had a Trafficking in Persons compliance plan or an awareness program at the time of the violation, was in compliance with the plan, and has taken appropriate remedial actions for the violation, that may include reparation to victims for such violations.
 - (2) Aggravating factors. The Contractor failed to abate an alleged violation or enforce the requirements of a compliance plan, when directed by the Contracting Officer to do so.
- (g) Full cooperation.
 - (1) The Contractor shall, at a minimum-

- (i) Disclose to the agency Inspector General information sufficient to identify the nature and extent of an offense and the individuals responsible for the conduct;
- (ii)Provide timely and complete responses to Government auditors' and investigators' requests for documents;
- (iii)Cooperate fully in providing reasonable access to its facilities and staff (both inside and outside the U.S.) to allow contracting agencies and other responsible Federal agencies to conduct audits, investigations, or other actions to ascertain compliance with the Trafficking Victims Protection Act of 2000 (22 U.S.C. chapter 78), E.O. 13627, or any other applicable law or regulation establishing restrictions on trafficking in persons, the procurement of commercial sex acts, or the use of forced labor; and
- (iv) Protect all employees suspected of being victims of or witnesses to prohibited activities,
- (3) The requirement for full cooperation does not foreclose any Contractor rights arising in law, the FAR, or the terms of the contract. It does not-
 - (i) Require the Contractor to waive its attorney-client privilege or the protections afforded by the attorney work product doctrine;
 - (ii) Require any officer, director, owner, employee, or agent of the Contractor, including a sole proprietor, to waive his or her attorney client privilege or Fifth Amendment rights; or
 - (iii) Restrict the Contractor from-
 - (A) Conducting an internal investigation; or
 - (B) Defending a proceeding or dispute arising under the contract or related to a potential or disclosed violation.
- (h) Compliance plan.
 - (1) This paragraph (h) applies to any portion of the contract that-
 - (i) Is for supplies, other than commercially available off-the-shelf items, acquired outside the United States, or services to be performed outside the United States; and
 - (ii) Has an estimated value that exceeds \$500,000.
 - (2) The Contractor shall maintain a compliance plan during the performance of the contract that is appropriate-
 - (i) To the size and complexity of the contract; and

- (ii) To the nature and scope of the activities to be performed for the Government, including the number of non-United States citizens expected to be employed and the risk that the contract or subcontract will involve services or supplies susceptible to trafficking in persons.
- (3) Minimum requirements. The compliance plan must include, at a minimum, the following:
 - (i) An awareness program to inform contractor employees about the Government's policy prohibiting trafficking-related activities described in paragraph (b) of this clause, the activities prohibited, and the actions that will be taken against the employee for violations. Additional information about Trafficking in Persons and examples of awareness programs can be found at the Web site for the Department of State's Office to Monitor and Combat Trafficking in Persons at http://www.state.gov/j/tip/.
 - (ii) A process for employees to report, without fear of retaliation, activity inconsistent with the policy prohibiting trafficking in persons, including a means to make available to all employees the hotline phone number of the Global Human Trafficking Hotline at 1-844-888-FREE and its email address at help@befree.org.
 - (iii) A recruitment and wage plan that only permits the use of recruitment companies with trained employees, prohibits charging recruitment fees to the employee, and ensures that wages meet applicable host-country legal requirements or explains any variance.
 - (iv) A housing plan, if the Contractor or subcontractor intends to provide or arrange housing, that ensures that the housing meets host-country housing and safety standards.
 - (v) Procedures to prevent agents and subcontractors at any tier and at any dollar value from engaging in trafficking in persons (including activities in paragraph (b) of this clause) and to monitor, detect, and terminate any agents, subcontracts, or subcontractor employees that have engaged in such activities.

(4) Posting.

- (i) The Contractor shall post the relevant contents of the compliance plan, no later than the initiation of contract performance, at the workplace (unless the work is to be performed in the field or not in a fixed location) and on the Contractor's Web site (if one is maintained). If posting at the workplace or on the Web site is impracticable, the Contractor shall provide the relevant contents of the compliance plan to each worker in writing.
- (ii) The Contractor shall provide the compliance plan to the Contracting Officer upon request.

- (5) Certification. Annually after receiving an award, the Contractor shall submit a certification to the Contracting Officer that-
 - (i) It has implemented a compliance plan to prevent any prohibited activities identified at paragraph (b) of this clause and to monitor, detect, and terminate any agent, subcontract or subcontractor employee engaging in prohibited activities; and
 - (ii) After having conducted due diligence, either-
 - (A) To the best of the Contractor's knowledge and belief, neither it nor any of its agents, subcontractors, or their agents is engaged in any such activities; or
 - (B) If abuses relating to any of the prohibited activities identified in paragraph (b) of this clause have been found, the Contractor or subcontractor has taken the appropriate remedial and referral actions.

(i) Subcontracts.

- (1) The Contractor shall include the substance of this clause, including this paragraph (i), in all subcontracts and in all contracts with agents. The requirements in paragraph (h) of this clause apply only to any portion of the subcontract that-
 - (A) Is for supplies, other than commercially available off-the-shelf items, acquired outside the United States, or services to be performed outside the United States; and
 - (B) Has an estimated value that exceeds \$500,000.
- (2) If any subcontractor is required by this clause to submit a certification, the Contractor shall require submission prior to the award of the subcontract and annually thereafter. The certification shall cover the items in paragraph (h)(5) of this clause.

(End of clause)